International population movements and regional Plasmodium falciparum malaria elimination strategies

Andrew J. Tatem and David L. Smith

*Emerging Pathogens Institute, University of Florida, Gainesville, FL 32610; and Departments of Geography and Biology, University of Florida, Gainesville, FL 32611

Edited by Susan Hanson, Clark University, Worcester, MA, and approved May 24, 2010 (received for review March 7, 2010)

Calls for the eradication of malaria require the development of global and regional strategies based on a strong and consistent evidence base. Evidence from the previous global malaria eradication program and more recent transborder control campaigns have shown the importance of accounting for human movement in introducing infections to areas targeted for elimination. Here, census-based migration data were analyzed with network analysis tools, Plasmodium falciparum malaria transmission maps, and global population databases to map globally communities of countries linked by relatively high levels of infection movements. The likely principal sources and destinations of imported cases in each region were also mapped. Results indicate that certain groups of countries, such as those in West Africa and central Asia are much more strongly connected by relatively high levels of population and infection movement than others. In contrast, countries such as Ethiopia and Myanmar display significantly greater isolation in terms of likely infection movements in and out. The mapping here of both communities of countries linked by likely higher levels of infection movement, and “natural” migration boundaries that display reduced movement of people and infections between regions has practical utility. These maps can inform the design of malaria eradication strategies by identifying regional communities of countries afforded protection from recolonization by surrounding regions of reduced migration. For more isolated countries, a nationally focused control or elimination program is likely to stand a better chance of success than those receiving high levels of visitors and migrants from high-transmission regions.

Significant progress is being made in reducing the morbidity and mortality attributed to malaria globally (1–10), encouraging the Global Malaria Action Plan (GMAP) (11) to articulate a long-term vision for malaria eradication through shorter-term local efforts to eliminate malaria. A total of 34 of the 107 malaria endemic countries have declared they have a national policy for malaria elimination or are pursuing spatially progressive elimination within their borders (11–13).

The most resource-efficient strategies for regional malaria elimination and global eradication will likely involve prioritization of resources in some regions or countries and the execution of a strategically planned and spatially progressive wave of elimination. Countries in low-transmission settings will face difficulties in (i) achieving elimination and, if they are successful, (ii) maintaining malaria-free status if they face a steady stream of incoming malaria cases from neighbors that continue to have high transmission. In fact, of the 25 countries in which malaria was eliminated during the Global Malaria Eradication Program (GMEP) of the 1950s, with the exception of Chile and Israel, all were either islands or contiguous with other countries that also eliminated malaria (14).

Human populations frequently move across national borders, and national malaria control effectiveness can be compromised by imported malaria (15). Imported malaria cases carry parasites, including resistant strains, even when asymptomatic (16). Illustrative examples of such issues include Zimbabwe, which had interrupted transmission in the 1960s but now has stable endemic transmission once again (17–19), and Vietnam, which achieved great successes against malaria during the GMEP, but saw these gains lost during the 1980s (1). In both instances, the combination of weaker malaria control and rapid invasion of malaria from imported cases rolled back significant gains against the disease. Despite the importance of cross-border malaria dynamics, efforts to control or eliminate malaria have generally been approached on a country-by-country basis. Loans or grants are generally negotiated with single countries based on plans that are disconnected from those of neighboring countries, treating malaria control in a country as insulated from malaria control activities in neighboring countries (20). Recent, more successful regional efforts, such as the Lubombo Spatial Development Initiative (LSDI) (21) have, however, demonstrated the importance of transborder approaches to malaria control. Understanding the rates of migration and parasite movement within and between regions therefore has implications for optimizing the strategic deployment of malaria control internationally.

Mapping “natural” migration boundaries that display reduced movement of people and infections between regions has practical utility. These maps enable malaria interventions to be applied and coordinated in regional blocks afforded protection from recolonization by surrounding regions of reduced migration. In this study, a recently constructed bilateral international migration database was analyzed with network analysis tools in combination with Plasmodium falciparum malaria transmission maps and global population databases to infer globally communities of countries linked by relatively strong population movement and imported case flows. Net contributors and receivers of imported cases were then quantified and highlighted, and the prospects for control and elimination by region discussed.

Results

P. falciparum Migration Network Communities. Figs. 1–3 show results of regional community structure analyses based on migration data, P. falciparum malaria transmission maps, and global population databases (Figs. S1–S3) show results based solely on migration and population databases). The maps highlight those countries that form communities linked by high levels of population movement from high transmission areas. In each case, a graph showing the relative strength of the communities found (measured by modularity; Materials and Methods) for each step of merging countries into new communities is presented, along with maps showing the communities identified at significant points in this merging process. S1 Text describes a similar analysis based solely on migration data.

Author contributions: A.J.T. designed research; A.J.T. and D.L.S. performed research; A.J.T. and D.L.S. contributed new reagents/analytic tools; A.J.T. and D.L.S. analyzed data; and A.J.T. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

Freely available online through the PNAS open access option.

1To whom correspondence should be addressed. E-mail: andy.tatem@gmail.com.

This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1002971107/-/DCSupplemental.
Fig. 1. *P. falciparum* migration communities for the Africa and Arabian Peninsula region. Each map represents a different stage of country-merging into communities connected by relatively higher levels of infection movements than to the surrounding regions, with community membership shown by color. For instance, the bottom-left map shows that Mali, Burkina Faso, Côte d’Ivoire, and Ghana form a community (colored red). The plot in the center shows the overall strength (measured by modularity score) of clustering at different stages of merging countries together into communities. The stage that each map shown represents is identified by the connecting lines.

Fig. 1 shows that intercountry infection movement is likely more prevalent in some areas of the Africa and Arabian Peninsula region than others. Throughout the agglomeration steps shown, communities of countries in West Africa (in red and dark green), and North-Central Africa (in cyan) are consistently found, highlighting the greater levels of infection exchange, and circulation between these countries than to the rest of the region. In contrast, other countries remain isolated, even at some of the highest levels of agglomeration. Madagascar, Ethiopia, and Saudi Arabia exhibit no distinct membership to a network community within the Africa and Arabian Peninsula area until the final agglomeration steps, indicating overall low levels of infection movement in and out to the remainder of the region.

The Americas display a range of community structures (Fig. 2), with the highest modularity score defining three communities: (i) the Central American *P. falciparum* malaria endemic countries, (ii) continental South America, and (iii) Hispaniola. The low modularity scores for earlier iterations shows that smaller communities of countries linked by strong migrant exchange are not such a feature of the Americas as was seen for the Africa and Arabian Peninsula region.

Fig. 3 shows that the community configuration for Central and Southeast (CSE) Asia that results in the largest modularity score is a division into three distinct and spatially contiguous regions representing West Asia, East Asia, and the isolated islands of Vanuatu and the Solomons. It is the earlier stages of the agglomeration process that reveals interesting within-region structures in terms of countries connected by relatively high levels of infection movement. For instance, the set of communities shown in the bottom map highlights the relative isolation of Papua New Guinea, Myanmar, China, Iran, and Afghanistan, with each displaying no community membership. In contrast, in central East Asia it can be seen that Vietnam, Cambodia, Laos, Thailand, Indonesia, Malaysia, and the Philippines are strongly connected to each other.

**Migration and *P. falciparum* Malaria Sources and Sinks.** Fig. 4 shows which nations are likely net exporters (sources) of *P. falciparum* parasites and which are net importers (sinks) in the Africa and Arabian Peninsula region, and in combination with Fig. 1, this map describes general patterns of infection movements. Movements are categorized by their origin *PfPR* 2–10 (Plasmodium falciparum Parasite Rate in the 2–10 year age group) endemicity class, as defined by Hay et al. (22). Here we focus on examining the control relevant situations of movements from high-transmission (*PfPR* 2–10 > 40%) to low-transmission regions (*PfPR* 2–10 < 40%). *SI Text* describes the results for the Americas and CSE Asia regions.

Within the Africa and Arabian Peninsula region, it can be seen that relatively high levels of migration occur across continental Africa, with some of the largest numbers of migrants coming from the most intensely malarious regions (*PfPR* 2–10 > 40%). This results in a general pattern of infection movements out of the high-transmission cores of West and Central Africa (Fig. 4A) into the surrounding lower-transmission countries (Fig. 4B). The largest migrant sources are found in Sahelian West Africa, with Mali, Burkina Faso, and Niger being net migrant exporters, principally to their neighboring coastal West African countries within the strongly linked migration community identified in Fig. 1. With relatively high migration levels also back the other way, this likely results in an ongoing exchange of *P. falciparum* infections, with the lower-transmission countries of Senegal, Mauritania, and Niger, for instance, receiving a higher proportion of migrants from the highest-transmission regions than they provide as migrant sources.

Focusing on the *PfPR* 2–10 > 40% class again, Angola and Mozambique represent the principal sources of migrants from high-
transmission areas to low-transmission areas within the southern Africa communities (Fig. 1). However, with the database used here representing foreign-born and foreign-national populations, these figures are potentially biased, reflecting outward migration due to the conflicts in Angola and Mozambique. With these conflicts subsiding, movements may also be decreasing.

The north-central migration community identified in Fig. 1 covers the full range of *P. falciparum* endemcity classes. Fig. 4 A and B show how large population flows from the high-transmission countries of the Democratic Republic of Congo, Congo, and Central African Republic northward and eastward are likely resulting in the lower transmission countries in the region receiving a high proportion of their incoming migrants from these regions.

**Discussion**

The first malaria eradication campaigns showed that movement of malaria parasites by human migration can quickly undermine the successful interruption of transmission (23). Malaria control has once again risen up the international agenda over the past decade, so much so that numerous countries have made elimination a national aim, and global eradication is being discussed once again (11, 24). Since the GMEP, the concepts of receptivity and vulnerability have been used in assessing whether malaria elimination is feasible in an area (25), but attempts to quantify both have only recently been made (26). High vulnerability, exhibited principally by the movement of people and, consequently, infections means that in many cases a multicountry transborder approach to control and elimination is favorable over one focused at the national level. Here, the identification of communities of countries linked by high levels of movement and their composition of sources and sinks of imported *P. falciparum* infections provides a first basic step toward evidence-based and globally comparable measures of vulnerability.

Findings indicate that certain communities of countries, such as those in West Africa and Central Asia are more strongly connected by high levels of infection movement than others. The effects of this can be seen for instance in Bhutan, where 77% of all its malaria cases originate in three districts located on its southern border with India (12). Efficient control and elimination strategies for such communities of countries should consider transborder coordination, rather than solely nationally focused aims. Examples of where this is being initiated include the Asia Pacific Malaria Elimination Network (27) and the E8 group of eight southern African countries (28). Interestingly, Fig. 1 suggests that this initiative could be more effectively reformulated into east and west divisions.

In Africa, the pattern of communities identified mirrors, to an extent, the distribution of antimalarial drug resistance lineages (29). This provides further evidence of the probable central role of migration in the regional dispersal of resistant malaria, and the need for further studies on human movement patterns around the world to design strategies for the control of any artemisinin-resistant strains that may arise.

Some countries display significantly greater isolation in terms of likely *P. falciparum* infection movements in and out relative to the majority of countries. Unsurprisingly, these include, for instance, the island nations of Vanuatu, Solomon Islands, and Madagascar, where transport to/from them involves much greater costs in terms of time and expense. However, other countries display a more surprising level of isolation, including Ethiopia, where both geographical and cultural factors may inhibit strong cross-border movement, and Myanmar, where reduced movement is principally related to political restrictions and poor infrastructure. If it were to be considered, a nationally focused control or elimination program for these nations is likely to stand a better chance of success than those receiving high levels of visitors and migrants from high-transmission regions.

In terms of the control and elimination of *P. falciparum* malaria, Fig. 4A (see also Figs. S4A and S5A) highlights how certain countries, such as Burkina Faso and Central African Republic, represent likely sources of significant number of cases exported to neighboring countries. Concerted control efforts in these “source” nations will not only reduce the burden of *P. falciparum* in the nations themselves, but should also have substantial knock-on effects in reducing case numbers and burden in lower-transmission “sink” countries that are within their migration communities. Nevertheless, such factors do highlight the need for countries considering embarking on an elimination campaign to conduct full assessments of human movement patterns and rates from sur-
rounding countries within their migration-linked regional communities (Figs. 1–3) that form likely sources of imported malaria (see Fig. 4 and SI Text).

The migration data used here have some significant limitations for drawing conclusions at national to local scales (Materials and Methods). Thus, though these analyses present a starting point and evidence base for the analysis of global and regional patterns of the relative levels of parasite movements between countries, they certainly provide no replacement for detailed assessments of human movement patterns, and should not be interpreted beyond relative measures of regional movements. In the new era of elimination it will be important to understand the forces that govern parasite migration, and a wide range of datasets, techniques, and tools now exist for quantifying human movement patterns across a range of spatial and temporal scales (30–34), and ultimately provide validation for some of the assumptions made here. Moreover, modeling frameworks are being developed in an effort to aid understanding the effects of movement upon transmission (34, 35). The decision to embark on elimination is multifactorial and is often not evidence based. Strategic assessments of elimination feasibility within a country or region require sophisticated analyses of information at spatial resolutions not easily summarized for global comparisons. A rigorous and structured study, covering quantitative and qualitative assessments of not only human and parasite movement patterns but also past and present epidemiology, health system adaptation, and financial sustainability should be undertaken, as was done recently for Zanzibar (26).

The impact of control interventions will always be maximized when applied at a geographical scale that encompasses regions of significant volumes of parasite exchange. Coordinated campaigns within regions connected by significant population movements from high-transmission areas will be more likely to succeed than campaigns within defined national territories, which will face an uphill struggle against the importation of malaria.

Materials and Methods

Quantifying Relative Levels of Human Movement. A global analysis of likely malaria infection movements should ideally be based upon subnational data...
on population flows, capturing the full range of relevant movements (30, 34, 36). However, such data are nonexistent for the vast majority of countries (particularly malaria-endemic countries), and both patchy and extremely variable for the remainder of countries, even highly developed countries. Moreover, where it does exist, it is almost always incomparable between countries. Foreign-born and foreign-national population data derived from recent censuses represent the most complete and comparable datasets for global and regional analyses that most readily accord with actual population movements (37), and these were used here as a measure of the relative levels of movement between countries.

Data on international bilateral migrant stocks for 226 countries and territories in 2000–2002 were obtained (37). Wherever possible, these data were derived from the latest round of censuses, as these were considered most comparable at the global level. Where unavailable, population registers were drawn upon, and in the cases of missing data, a variety of techniques and tests were used to create and validate a complete matrix of international bilateral migrant stocks (37). Finally, all data before 2000 were scaled to the United Nations midyear totals of migrant stocks for 2000 (38). For each country or territory, the completed dataset represented the number of foreign-born and foreign-nationality people in residence in 2000–2002, and which country/territory they were born in or had come from. These data do not capture both very short-term and illegal movements, which themselves can be substantially larger than those in official records (see, e.g., ref. 39).

The migrant dataset was rescaled to account for the population size of each country of origin, providing a measure of the strength of migration between countries. For instance, a country containing 100,000 residents born in country A (population 1 million) and 100,000 residents born in country B (population 10 million) has a stronger migratory pull to country A than B. National population totals of each country were obtained (40), and used to convert the migrant stock numbers into percentages of national origin population.

Global P. falciparum malaria endemicity data were obtained from the P. falciparum malaria maps (22) recently released by the Malaria Atlas Project (MAP; www.map.ox.ac.uk). These data were used in combination with gridded population data to obtain measures of population-weighted transmission for each country, as measured by the P. falciparum parasite rate in the 2–10 age group (PPR2–10) (41). The gridded global population data were obtained from the Global Rural Urban Mapping Project (GRUMP) gridded population surface (42), supplemented with more spatially detailed data from the AfriPop project (www.afripop.org) (43), where available. Finally, the data outlining control-relevant classes of P. falciparum transmission (22, 41) were also obtained.

Analyses were stratified throughout by three major global regions: America, Africa, Yemen, and Saudi Arabia (Africa and the Arabian Peninsula); and Central and South and East Asia (CSE Asia). This division allowed these biogeographically, entomologically, and epidemiologically distinct regions (44, 45) to be considered separately, and is further supported by the spatial structure exhibited by PPR2–10 data (22).

P. falciparum Migration Network Communities. The identification of groups or communities of countries linked by relatively high levels of population movement from high-transmission regions, equating to a likely greater level of imported infections into a destination country, first required the creation of a simple quantitative index to represent this. The P. falciparum-migration metric, Pfmi, between country i and country j is defined as:

$$Pf_{mi} = \frac{(PPR_i \times PPR_j)}{(PPR_i + PPR_j)^m}.$$  

where $m$ is the rescaled migration from country $i$ to country $j$. The index is highest if both (i) $PPR_{2-10}$ for country $i$ and $j$ are high, and (ii) the migration level is also high, thus representing large numbers of people moving between high-transmission countries, and large numbers of infection movements. The index is lowest if small numbers of migrants are moving between low-transmission countries, equating to little imported case exchange.

Network analysis approaches were applied to the matrix showing $Pf_{mi}$ values between countries to identify well-defined communities of countries that are connected by relatively high levels of population movement from high-transmission regions. These communities were defined using random walk-based methodologies extended for weighted (46) and directed (47) networks and the strength of community linkage evaluated using measures of network modularity (48). In simple terms, at each stage of the analysis, the two countries (or communities of countries) that displayed the highest $Pf_{mi}$ value between them were merged to form a new community. The strength of the new set of communities and individual countries in terms of $Pf_{mi}$ values was then measured and recorded. These steps were repeated until all countries formed a single community. These steps are described in detail below.

The walktrap community structure algorithm was used to identify communities of countries that are most strongly linked by migration. The algorithm is based on the fact that random walks on a network tend to get trapped in strongly weighted parts corresponding to communities, and is described in detail in Pons and Latapy (46). A range of alternative algorithms exist for the detection of strongly connected communities within networks (e.g., refs. 48–50), and each of these were tested alongside the walktrap algorithm, but little change in results were found.

Network weights were calculated using methods outlined in Kim et al. (47) that account for directionality within a network. Initially, the set of n countries formed a weighted network of n communities, with the weights being the P. falciparum migration metrics, Pfmi. Two communities (or countries, depending on the stage of the agglomeration), $c_I$ and $c_J$, were then chosen according to

$$\sigma_i = \frac{1}{n-1} \sum_{c \neq c_i} \sum_{c} Pf_{mij}^2.$$  

At each step, $s$, an $\sigma_i$, a metric summarizing the strength of P. falciparum migration between communities, was calculated for every combination of neighboring community/country pairs possible, and the two communities (or countries) that maximized the mean $\sigma_i$ of the squared $Pf_{mi}$ between each country $i$ and its community $C$ were merged. This created a new community $C_2$ and a new partition of the $Pf_{mi}$, $P_{C_1}$. $Pf_{mi}$ values between communities were then updated and the procedure was repeated until $n - 1$ steps and partition $P_n$ was obtained. Each step defined a partition $P_s$ of the $Pf_{mi}$-weighted network into communities of countries, which provided a hierarchical structure of communities.

Quantifying and exploring which communities were the “strongest” in terms of P. falciparum exchange was undertaken by calculating, for each partition $P_s$ from the walktrap algorithm, a network modularity score, $Q(P)$. Modularity is
a measure of the quality or strength of a given division of a network and is measured by
\[ Q(p) = \sum_{c} \left( \frac{e_{c} - a_{c}^2}{a_{c}^2} \right). \]

The best partition is considered to be the one that maximizes Q, where measured by a measure of the quality or strength of a given division of a network and is

Imported
